# Kinetics of the Reactions of Methoxybenzylidene Meldrum's Acid with Thiolate Ions, Alkoxide Ions, $\mathrm{OH}^{-}$, and Water in Aqueous DMSO. Detection and Kinetic Characterization of the $\mathrm{S}_{\mathrm{N}} \mathrm{V}$ Intermediate 

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#### Abstract

The nucleophilic vinylic substitution ( $\mathrm{S}_{\mathrm{N}} \mathrm{V}$ ) reactions of methoxybenzylidene Meldrum's acid (5OMe ) follow the common two-step mechanism involving a tetrahedral intermediate. With thiolate and alkoxide ions, this intermediate is shown to accumulate to detectable levels and a detailed kinetic study allowed the determination of the rate constants of the various elementary steps. With $\mathrm{OH}^{-}$as the nucleophile, the intermediate cannot be observed; it is shown that this is the result of the intermediate rapidly breaking down to products by a pathway not available in the reactions with the thiolate or alkoxide ions. Comparison of structure-reactivity data for the reactions of 5-OMe with those of benzylidene Meldrum's acid (5-H) and $\beta$-methoxy- $\alpha$-nitrostilbene (4-OMe) reveal a complex interplay of steric effects, $\pi$-donor and $\pi$-acceptor resonance effects, and anomeric effects.


It is well established that nucleophilic vinylic substitutions $\left(\mathrm{S}_{\mathrm{N}} \mathrm{V}\right)$ of a leaving group (LG) on substrates moderately or strongly activated by electron-withdrawing groups (X, Y) proceed by a stepwise mechanism via intermediate 2 (eq I). ${ }^{1}$


The first direct spectrophotometric detection of $\mathbf{2}$ was reported in 1989 for the reaction of $\beta$-methoxy- $\alpha$-nitrostilbene (4-OMe)



with thiolate ions in $50 \% \mathrm{Me}_{2} \mathrm{SO}-50 \% \mathrm{H}_{2} \mathrm{O}(\mathrm{v} / \mathrm{v}) .{ }^{2}$ Besides providing the most compelling evidence for the two-step mechanism and the existence of $\mathbf{2}$, its direct observation allowed a determination of the rate constants $k_{1}^{\mathrm{Nu}}, k_{-1}^{\mathrm{Nu}}$, and $k_{2}^{\mathrm{Nu}}$ in eq I. Subsequently, the reactions of 4-OMe with $\mathrm{MeO}^{-},{ }^{3} \mathrm{CF}_{3} \mathrm{CH}_{2} \mathrm{O}^{-},{ }^{3}$

[^0]MeONHMe ${ }^{4 \mathrm{a}}$ and $\mathrm{MeONH}_{2},{ }^{4}$ of 4 -SR $\left(\mathrm{R}=\mathrm{ZCH}_{2} \mathrm{CH}_{2} ; \mathrm{Z}=\right.$ $\mathrm{Me}, \mathrm{HO}, \mathrm{MeO}_{2} \mathrm{C}$ ) with thiolate ions ${ }^{2 b}$ and the reaction of 4- $\mathrm{OCH}_{2} \mathrm{CF}_{3}$ with $\mathrm{CF}_{3} \mathrm{CH}_{2} \mathrm{O}^{-}$and $\mathrm{HOCH}_{2} \mathrm{CH}_{2} \mathrm{~S}^{-3 \mathrm{~b}}$ also led to intermediates that accumulated to detectable levels.

However, extensive recent work revealed several substitutions of nonactivated systems which were interpreted as single-step concerted substitutions, thus increasing the need for more clearcut examples where the intermediate has been unequivocally observed. ${ }^{5,6}$ Thus far, all detectable intermediates were derived from nitrostilbenes, where $\mathrm{X}, \mathrm{Y}=\mathrm{Ph}, \mathrm{NO}_{2}$ provide unusually effective stabilization of the intermediate, as implied by the high acidity of $\mathrm{PhCH}_{2} \mathrm{NO}_{2}\left(\mathrm{p} K_{\mathrm{a}}=7.93\right.$ in $\left.50 \% \mathrm{Me}_{2} \mathrm{SO}-50 \% \mathrm{H}_{2} \mathrm{O}^{7}\right)$. In exploring other types of strongly activated vinylic substrates that might lead to a detectable intermediate we have now investigated the reaction of methoxybenzylidene Meldrum's acid (5-OMe) with $\mathrm{RS}^{-}, \mathrm{RO}^{-}, \mathrm{OH}^{-}$, and $\mathrm{H}_{2} \mathrm{O}$ in $50 \%$ DMSO-50\%


$\mathrm{H}_{2} \mathrm{O}(\mathrm{v} / \mathrm{v})$, henceforth abbreviated as $50 \%$ DMSO. Since the $\mathrm{p} K_{\mathrm{a}}$ of Meldrum's acid is 4.70 in $50 \% \mathrm{DMSO},{ }^{8}$ the chances to observe the intermediate seemed as good as in the reactions of $4-\mathrm{OMe}$ or 4 -SR. This is confirmed below.

[^1]Table 1. Summary of Rate and Equilibrium Constants for the Reactions of 5-OMe and 5-H with Various Nucleophiles in $50 \%$ DMSO-50\% Water (v/v) at $20^{\circ} \mathrm{C}, \mu=0.5 \mathrm{M}$

| $\mathrm{Nu}\left(\mathrm{p} K_{\mathrm{a}}^{\mathrm{NuH}}\right)$ | $k_{1}^{\mathrm{Nu}}, \mathrm{M}^{-1} \mathrm{~s}^{-1}$ | $k_{-1}^{\mathrm{Nu}}, \mathrm{s}^{-1}$ | $K_{1}^{\mathrm{Nu}}, \mathrm{M}^{-1}$ | $k_{2}^{\mathrm{Nu}}, \mathrm{s}^{-1}$ |
| :---: | :---: | :---: | :---: | :---: |
|  |  | 5-OMe |  |  |
| $n-\mathrm{BuS}^{-}$(11.40) | $(6.70 \pm 0.10) \times 10^{4}$ | $0.395 \pm 0.016$ | $(1.70 \pm 0.09) \times 10^{5}$ | $(1.11 \pm 0.04) \times 10^{-4}$ |
| $\mathrm{HOCH}_{2} \mathrm{CH}_{2} \mathrm{~S}^{-}$(10.56) | $(4.40 \pm 0.02) \times 10^{4}$ | $1.71 \pm 0.05$ | $(2.57 \pm 0.09) \times 10^{4}$ | $(2.16 \pm 0.14) \times 10^{-4}$ |
| $\mathrm{MeO}_{2} \mathrm{CCH}_{2} \mathrm{CH}_{2} \mathrm{~S}^{-}$(10.40) | $(4.43 \pm 0.11) \times 10^{4}$ | $2.00 \pm 0.19$ | $(2.22 \pm 0.26) \times 10^{4}$ | $(1.98 \pm 0.17) \times 10^{-5}$ |
| $\mathrm{MeO}_{2} \mathrm{CCH}_{2} \mathrm{~S}^{-}$(8.83) | $(2.40 \pm 0.09) \times 10^{4}$ | $14.0 \pm 0.7$ | $(1.71 \pm 0.15) \times 10^{3}$ |  |
| $\mathrm{HO}^{-}$(17.33) | $(5.41 \pm 0.13) \times 10^{2}$ |  |  |  |
| $\mathrm{MeO}^{-}(17.2)^{b}$ |  | $(1.22 \pm 0.32) \times 10^{-5}$ |  |  |
| $\mathrm{HC} \equiv \mathrm{CCH}_{2} \mathrm{O}^{-}(15.2)^{c}$ | $(4.61 \pm 0.16) \times 10^{3}$ | $(1.06 \pm 0.04) \times 10^{-3}$ | $(4.35 \pm 0.95) \times 10^{6}$ |  |
| $\mathrm{CF}_{3} \mathrm{CH}_{2} \mathrm{O}^{-}$(14.0) | $(1.09 \pm 0.03) \times 10^{3}$ | $(1.60 \pm 0.20) \times 10^{-2}$ | $(6.81 \pm 1.60) \times 10^{4}$ |  |
| $\mathrm{H}_{2} \mathrm{O}(-1.44)$ | $(2.98 \pm 0.08) \times 10^{-2 a}$ |  |  |  |
|  |  | 5-H ${ }^{\text {d }}$ |  |  |
| $\mathrm{HOCH}_{2} \mathrm{CH}_{2} \mathrm{~S}^{-}$(10.56) | $1.44 \times 10^{7}$ | $2.68 \times 10^{-4}$ | $5.38 \times 10^{10}$ |  |
| $\mathrm{HO}^{-}(17.33)$ | $1.80 \times 10^{3}$ | $1.45 \times 10^{-7}$ | $1.17 \times 10^{10}$ |  |
| $\mathrm{CF}_{3} \mathrm{CH}_{2} \mathrm{O}^{-}$(14.0) | $2.09 \times 10^{4}$ | $4.38 \times 10^{-3}$ | $4.77 \times 10^{6}$ |  |

${ }^{a}$ First-order rate constant $\left(\mathrm{s}^{-1}\right) .{ }^{b} \mathrm{p} K_{\mathrm{a}}$ of MeOH estimated as discussed in ref $3 \mathrm{a} .{ }^{c} \mathrm{p} K_{\mathrm{a}}$ of $\mathrm{HC} \equiv \mathrm{CCH}_{2} \mathrm{OH}$ is estimated; see text. ${ }^{d}$ From ref 12 .


Figure 1. (a) Time-dependent spectra showing the hydrolytic conversion of $\mathbf{5 - O M e}$ to $\mathbf{6}^{-}$in neutral $50 \% \mathrm{DMSO}-50 \%$ water solution at 20 ${ }^{\circ} \mathrm{C}$. Scans taken every 5 s for 90 s . $[5-\mathrm{OMe}]_{\mathrm{o}}=7.0 \times 10^{-5} \mathrm{M}$. (b) Spectrum of the protonated form of the hydrolysis product, $5-\mathrm{OH}$, in strongly acidic HCl solution ( $\mathrm{pH} \approx 0$ ).

## Results

Reaction of $5-\mathrm{OMe}$ with $\mathbf{H}_{\mathbf{2}} \mathrm{O}$ and $\mathbf{O H}^{-}$. Hydrolysis of 5-OMe is quite fast, even in neutral or acidic solution where $t_{1 / 2}$ is $\sim 23 \mathrm{~s}$. Figure 1 shows spectral changes associated with the hydrolysis reaction. Its kinetics was measured in 0.01-0.2 M KOH solutions, acetate buffers ( $\mathrm{pH} 5.78-6.78$ ), and HCl solutions ( $\mathrm{pH} 0.3-3.0$ ). No significant buffer catalysis was observed. The rate-pH profile is shown in Figure 1S of the Supporting Information. ${ }^{9}$ The observed pseudo-first-order rate constant was fitted to eq 1 . No intermediate accumulated and

$$
\begin{equation*}
k_{\mathrm{obsd}}=k_{\mathrm{H}_{2} \mathrm{O}}+k_{\mathrm{OH}}\left[\mathrm{OH}^{-}\right] \tag{1}
\end{equation*}
$$

$k_{\mathrm{OH}}$ and $k_{\mathrm{H}_{2} \mathrm{O}}$ represent rate-limiting nucleophilic attack by $\mathrm{OH}^{-}$

[^2]and water, respectively (see below), i.e., $k_{\mathrm{OH}}=k_{1}^{\mathrm{OH}}$ and $k_{\mathrm{H}_{2} \mathrm{O}}=$ $k_{1}^{\mathrm{H}_{2} \mathrm{O}}$ (eq I and Table 1).

At $\mathrm{pH}>2$, the hydrolysis product is anion $\mathbf{6}^{-}$, displayed as its two resonance forms $\mathbf{6} \mathbf{a}^{-}$and $\mathbf{6} b^{-}$. Its structure was identical (by HPLC) to an authentic sample of $\mathbf{6}^{-}$. At $\mathrm{pH}<2$, the UV spectrum of the hydrolysis product starts to undergo a bathochromic shift from $\lambda_{\max }=285$ to 299 nm at pH 0.3 , indicating protonation of $\mathbf{6}^{-}$. The $\lambda_{\text {max }}$ suggests protonation on oxygen, giving $5-\mathrm{OH}$ rather than $\mathbf{6}$. The spectrophotometrically determined $\mathrm{p} K_{\mathrm{a}}$ of $5-\mathrm{OH}$ is $1.06 \pm 0.03$.

Reaction of 5-OMe with Thiolate Ions. Upon rapid mixing of solutions of $5-\mathrm{OMe}$ and a thiolate buffer ( $n$ - $\mathrm{BuS}^{-}$, $\mathrm{HOCH}_{2} \mathrm{CH}_{2} \mathrm{~S}^{-}$, and $\left.\mathrm{MeO}_{2} \mathrm{C}\left(\mathrm{CH}_{2}\right)_{n} \mathrm{~S}^{-}, n=1,2\right)$, a fast reaction was observed on the stopped-flow time scale, with pseudo-firstorder rate constants proportional to [RS ${ }^{-}$] (Figure 2S). ${ }^{9}$ Due to rapid hydrolysis of $\mathbf{5 - O M e}$, it was difficult to obtain

meaningful spectral data about the species formed in the reaction between 5-OMe and $\mathrm{RS}^{-}$. However, a clean spectrum was generated by conducting the reaction in MeOH , where hydrolysis is not an issue, and then injecting this solution into $50 \%$ DMSO. This spectrum is shown in Figure 2 (spectrum b) along with that of 5-OMe (spectrum a) and of 5-SR (spectrum d). The blue-shifted $\lambda_{\max }$ of spectrum $b$ indicates loss of conjugation with the $\mathrm{C}=\mathrm{C}$ bond which is consistent with the intermediate 7-(OMe,SR). This implies that the kinetic results refer to eq 2

$$
\begin{gather*}
5-\mathrm{OMe}+\mathrm{RS}^{-} \stackrel{k_{1}^{\mathrm{RS}}}{k_{-1}^{\mathrm{RS}}} 7-(\mathrm{OMe}, \mathrm{SR})  \tag{2}\\
k_{\mathrm{obsd}}=k_{1}^{\mathrm{RS}}\left[\mathrm{RS}^{-}\right]+k_{-1}^{\mathrm{RS}} \tag{3}
\end{gather*}
$$

and $k_{\text {obsd }}$ is given by eq 3 with $k_{-1} \ll k_{1}\left[\mathrm{RS}^{-}\right]$. $k_{1}^{\mathrm{RS}}$ values are reported in Table 1.

Reaction of 7-(OMe,SR) with AcOH Buffers. Since eq 2 favors 7-(OMe,SR), $k_{-1}^{R S}$ could not be obtained from the above kinetic experiments. Instead, $k_{-1}^{\mathrm{RS}}$ was determined by generating 7-(OMe,SR) in MeOH and then injecting it into AcOH

[^3] paper.


Figure 2. Spectra of various species derived from $\mathbf{5}-\mathrm{OMe},[\mathbf{5}-\mathrm{OMe}]_{\text {。 }}$ $=7.0 \times 10^{-5} \mathrm{M}$. (a) $5-\mathrm{OMe}$ in $\mathrm{CH}_{3} \mathrm{CN}$; (b) 7-( $\mathrm{OMe}, \mathrm{SR}$ ) with $\mathrm{R}=$ $\mathrm{HOCH}_{2} \mathrm{CH}_{2}$ in $50 \%$ DMSO $-50 \%$ water; (c) 7 -( $\mathrm{OMe}, \mathrm{OR}$ ) with $\mathrm{R}=$ $\mathrm{CF}_{3} \mathrm{CH}_{2}$ in $50 \%$ DMSO $-50 \%$ water; (d) 5 -SR with $\mathrm{R}=\mathrm{HOCH}_{2} \mathrm{CH}_{2}$ in $50 \%$ DMSO- $50 \%$ water.
buffers in $50 \%$ DMSO. Under these conditions, the $\left[\mathrm{RS}^{-}\right]$in the solution is very small and in eq $3, k_{-1}^{\mathrm{RS}} \gg k_{1}^{\mathrm{RS}}\left[\mathrm{RS}^{-}\right] . k_{\text {obsd }}$ was determined at pH of $5.5-6.7$ (or 7.10 for $\mathrm{R}=n-\mathrm{Bu}$ ) at $\sim 10^{-3}$ to $4 \times 10^{-2} \mathrm{M}[\mathrm{AcOH}]$. Buffer dependence was insignificant. A slight dependence on $a_{\mathrm{H}^{+}}$according to eq 4

$$
\begin{gather*}
k_{\mathrm{obsd}}=k_{-1}^{\mathrm{RS}}+k_{-1}^{\mathrm{RSH}} a_{\mathrm{H}^{+}}  \tag{4}\\
7-(\mathrm{OMe}, \mathrm{SR}) \xrightarrow{k_{-}^{\mathrm{RS}}+k_{-1}^{\mathrm{RSH}} a_{\mathrm{H}^{+}}} \mathbf{5 - O M e}+\mathrm{RS}^{-}(\mathrm{RSH}) \tag{5}
\end{gather*}
$$

implies acid-catalyzed loss of $\mathrm{RS}^{-}$(eq 5). Only when $\mathrm{R}=$ $n$ - Bu was this dependence strong enough to allow determination of a $k_{-1}^{\mathrm{RSH}}$ value $\left(1.9 \times 10^{5} \mathrm{M}^{-1} \mathrm{~s}^{-1}\right)$. The $k_{-1}^{\mathrm{RS}}$ values are reported in Table 1.

Collapse of 7-(OMe,SR) to Products. The intermediate to products conversion is much slower than the $k_{1}^{\mathrm{RS}}$ and $k_{-1}^{\mathrm{RS}}$ steps and should, in principle, be measurable by following formation of 5 -SR, eq 6 , under conditions that favor $7-(\mathrm{OMe}, \mathrm{SR})$ over

$$
\begin{equation*}
\mathbf{7}-(\mathrm{OMe}, \mathrm{SR}) \xrightarrow{\frac{k_{2} \mathrm{~S}}{}} \mathbf{5}-\mathrm{SR}+\mathrm{MeO}^{-}(\mathrm{MeOH}) \tag{6}
\end{equation*}
$$

5-OMe in eq 2. However, even then a competition by substrate hydrolysis affects the rate of $\mathbf{5}$-SR formation. This is due to the interconnectedness of the various processes shown in eq 7 ;

$$
\begin{equation*}
\mathbf{6}^{-} \stackrel{k_{\mathrm{H}_{2} \mathrm{O}}+k_{\mathrm{OH}\left[\mathrm{OH}^{-}\right]}}{ } \mathbf{5}-\mathrm{OMe} \stackrel{K_{\mathrm{R}} \mathrm{RS}[\mathrm{RS}-]}{\rightleftharpoons} 7-(\mathrm{OMe}, \mathrm{SR}) \stackrel{k_{2} \mathrm{RS}}{\rightleftharpoons} \mathbf{5}-\mathrm{SR} \tag{7}
\end{equation*}
$$

note that on the time scales of the hydrolysis and the $k_{2}^{\mathrm{RS}}$ step the equilibrium of the reaction of $\mathbf{5}-\mathrm{OM}$ with $\mathrm{RS}^{-}$is rapidly established and hence $K_{1}^{\mathrm{RS}}$ replaces $k_{1}^{\mathrm{RS}}$ and $k_{-1}^{\mathrm{RS}}$. $k_{\mathrm{obs}}$ for the concurrent formation of $\mathbf{6}^{-}$and $\mathbf{5}$-SR is given by eq 8 .

$$
\begin{equation*}
k_{\mathrm{obsd}}=\frac{K_{1}^{\mathrm{RS}} k_{2}^{\mathrm{RS}}\left[\mathrm{RS}^{-}\right]+k_{\mathrm{H}_{2} \mathrm{O}}+k_{\mathrm{OH}}\left[\mathrm{OH}^{-}\right]}{1+K_{1}^{\mathrm{RS}}\left[\mathrm{RS}^{-}\right]} \tag{8}
\end{equation*}
$$

A representative plot of $k_{\text {obsd }}$ vs [ $\mathrm{RS}^{-}$] according to eq 8 is shown in Figure 3. Equation 8 can be solved for the unknown $k_{2}^{\text {RS }}$; in view of the highly accurate $K_{1}^{\mathrm{RS}}$ values determined from the $k_{1}^{\mathrm{RS}} / k_{-1}^{\mathrm{RS}}$ ratios, this procedure gives a more reliable $k_{2}^{\mathrm{RS}}$ than


Figure 3. Conversion of $\mathbf{7}-(\mathrm{OMe}, \mathrm{SR})(\mathrm{R}=\mathrm{n}-\mathrm{Bu})$ to $\mathbf{5}-\mathrm{OMe}$ and $\mathbf{5}-\mathrm{SR}$ according to eq 7 in $\mathrm{BuSH} / \mathrm{BuS}^{-}$buffers at pH 11.10 in $50 \%$ DMSO$50 \%$ water at $20^{\circ} \mathrm{C}$. $k_{\text {obsd }}$ given by eq 8 , which simplifies to $k_{\text {obsd }}=$ $k_{2}^{\mathrm{RS}}$ at high $\left[\mathrm{RS}^{-}\right]$.
treating $K_{1}^{\mathrm{RS}}$ as an unknown in a curve fit to eq 8. At high $\left[\mathrm{RS}^{-}\right]$, the $K_{1}^{\mathrm{RS}}\left[\mathrm{RS}^{-}\right]$term becomes quite large, especially with the more basic thiolate ions and eq 8 simplifies to $k_{\text {obsd }}=$ $k_{2}^{\mathrm{RS}}$, as is apparent from Figure 3.

When $\mathrm{R}=\mathrm{HOCH}_{2} \mathrm{CH}_{2}$, HPLC analysis allowed 5-SR to be separated from $\mathbf{6}^{-}$; the UV spectrum of 5 -SR was virtually identical to that of an authentic sample of 5-SMe. ${ }^{10}$

Reaction of 5-OMe with $\mathbf{R O}^{-}$. For the reaction of $5-\mathrm{OMe}$ with $\mathrm{CF}_{3} \mathrm{CH}_{2} \mathrm{O}^{-}$and $\mathrm{HC} \equiv \mathrm{CCH}_{2} \mathrm{O}^{-}$, $k_{\text {obsd }}$ was determined in the stopped-flow apparatus. It strongly depends on $\left[\mathrm{RO}^{-}\right]$, and the UV spectrum of the species formed (c in Figure 2) is consistent with 7-(OMe,OR); the spectrum was obtained after generating 7-(OMe,OR) in MeOH and injecting it into $50 \%$ DMSO in order to avoid contamination by $\mathbf{6}^{-}$. Hence, the kinetic data refer to eq 9. One difference between the reactions

$$
\begin{equation*}
\mathbf{5 - O M e}+\mathrm{RO}^{-} \xlongequal[k^{\mathrm{R} \mathrm{q}}]{k_{1}^{\mathrm{R}^{0}}\left[\mathrm{RO}^{-}\right]} 7-(\mathrm{OMe}, \mathrm{OR}) \tag{9}
\end{equation*}
$$

of $\mathrm{RS}^{-}$and $\mathrm{RO}^{-}$is that $\mathrm{RO}^{-}$is slower, and at low $\left[\mathrm{RO}^{-}\right], \mathrm{OH}^{-}$promoted hydrolysis of $\mathbf{5}$-OMe competes. Hence, $k_{\mathrm{obsd}}$ is given by eq $10 ; k_{-1}^{\mathrm{RO}}$ is negligible under the experimental conditions.

$$
\begin{equation*}
k_{\mathrm{obsd}}=k_{1}^{\mathrm{RO}}\left[\mathrm{RO}^{-}\right]+k_{\mathrm{OH}}\left[\mathrm{OH}^{-}\right] \tag{10}
\end{equation*}
$$

Plots of $k_{\mathrm{obsd}}-k_{\mathrm{OH}}\left[\mathrm{OH}^{-}\right]$vs $\left[\mathrm{RO}^{-}\right]$yield the $k_{1}^{\mathrm{RO}}$ values reported in Table 1 (cf. Figure $3 \mathrm{~S}^{9}$ ). For $\mathrm{CF}_{3} \mathrm{CH}_{2} \mathrm{O}^{-}$, the [ $\mathrm{CF}_{3} \mathrm{CH}_{2} \mathrm{O}^{-}$] was corrected for the homoassociation constant $\left(K_{\text {assoc }}=1.8 \mathrm{M}^{-1}\right) .{ }^{3 \mathrm{a}}$

Reaction of 7-(OMe,OR) with $\mathrm{Et}_{3} \mathrm{~N}$ Buffers. 7-(OMe,OR) generated in MeOH was injected into $\mathrm{Et}_{3} \mathrm{~N}$ buffers in $50 \%$ DMSO, pH 10.5 . The dimethoxy adduct, 7-(OMe, OMe), was

$$
\begin{equation*}
k_{\mathrm{obsd}}=k_{-1}^{\mathrm{RO}} \tag{11}
\end{equation*}
$$

included in these experiments. Under these conditions, $k_{\text {obsd }}$ is given by eq 11 ; for $\left[\mathrm{Et}_{3} \mathrm{NH}^{+}\right]=0.007-0.065 \mathrm{M}$ buffer catalysis was negligible. Equation 11 is valid even though $k_{1}^{\mathrm{RO}}\left[\mathrm{RO}^{-}\right]$is not negligible compared to $k_{-1}^{\mathrm{RO}}$ because, under the reaction conditions, $k_{1}^{\mathrm{OH}}\left[\mathrm{OH}^{-}\right] \gg k_{1}^{\mathrm{RO}}\left[\mathrm{RO}^{-}\right]$. Hence, 5-OMe formed by

[^4]the loss of $\mathrm{RO}^{-}$from 7 -( $\mathrm{OMe}, \mathrm{OR}$ ) cannot revert back to 7-(OMe,OR) since it is immediately hydrolyzed.

## Discussion

Detection of Intermediate and Kinetic Analysis. Our major objective was to try to detect the $\mathrm{S}_{\mathrm{N}} \mathrm{V}$ intermediate in the reaction of $\mathbf{5 - O M e}$ with nucleophiles and to determine the rate constants of eq 1. On the basis of the evidence above, the corresponding intermediates were shown to accumulate to detectable levels in the reactions with $\mathrm{RS}^{-}$and $\mathrm{RO}^{-}$but not in those with $\mathrm{OH}^{-}$or $\mathrm{H}_{2} \mathrm{O}$. All rate constants $\left(k_{1}^{\mathrm{Nu}}, k_{-1}^{\mathrm{Nu}}, k_{2}^{\mathrm{Nu}}\right)$ were determined for three $\mathrm{RS}^{-}$ions, while only $k_{1}^{\mathrm{Nu}}$ and $k_{-1}^{\mathrm{Nu}}$ could be measured for the reactions with one $\mathrm{RS}^{-}$and the $\mathrm{RO}^{-}$.

Why the intermediate was not always observed, and only partial kinetic analysis was sometimes possible when it was detectable, is best understood by considering the rate and equilibrium constants summarized in Table 1. Three conditions must be met simultaneously for the intermediate to accumulate to detectable levels. ${ }^{2 b}$ (1) The equilibrium of the first step must be favorable, eq 12. (2) The intermediate must be formed faster

$$
\begin{gather*}
K_{1}^{\mathrm{Nu}}\left[\mathrm{Nu}^{-}\right] \gtrsim 1  \tag{12}\\
k_{1}^{\mathrm{Nu}}\left[\mathrm{Nu}^{-}\right] / k_{2}^{\mathrm{Nu}} \gtrsim 1 \tag{13}
\end{gather*}
$$

than it converts to products, eq 13. (3) The $k_{2}^{\mathrm{Nu}}$ value must be low enough to allow detection of the intermediate, e.g., by conventional or stopped-flow spectrophotometry. The third condition is met for all our reactions. As to the first, $K_{1}^{\mathrm{Nu}}=$ $1.71 \times 10^{3}$ to $4.35 \times 10^{6} \mathrm{M}^{-1}$ for reactions where the intermediate was observed, indicating that mostly eq 12 does not even require very high $\left[\mathrm{Nu}^{-}\right]$. The least favorable case is the reaction with $\mathrm{MeO}_{2} \mathrm{CCH}_{2} \mathrm{~S}^{-}$for which $\left[\mathrm{RS}^{-}\right]=5.85 \times 10^{-4}$ M is needed. Similarly, where data are available, the second condition is amply met since always $k_{1}^{\mathrm{Nu}} / k_{2}^{\mathrm{Nu}}>2 \times 10^{8} \mathrm{M}^{-1}$.

It is safe to assume that the $k_{1}^{\mathrm{Nu} /} / k_{2}^{\mathrm{Nu}}$ ratio for the reaction of 5-OMe with $\mathrm{MeO}_{2} \mathrm{CCH}_{2} \mathrm{~S}^{-}$is in the same range and hence $k_{2}^{\mathrm{Nu}}$ should, in principle, be experimentally accessible. However, $k_{2}^{\mathrm{Nu}}$ values could not be determined due to the fast hydrolysis of $\mathbf{5}-\mathrm{OMe}$ which becomes the major pathway in eq 7; i.e., in eq $8 K_{1}^{\mathrm{RS}} k_{2}^{\mathrm{RS}}\left[\mathrm{RS}^{-}\right]<k_{\mathrm{H}_{2} \mathrm{O}}+k_{\mathrm{OH}}\left[\mathrm{OH}^{-}\right]$even at high [ $\mathrm{RS}^{-}$].

The absence of $k_{2}^{\mathrm{Nu}}$ values in the reactions of $\mathbf{5}$-OMe with $\mathrm{RO}^{-}$has a similar origin. The complete reaction scheme is shown in eq 14. In the reaction of $7-(\mathrm{OMe}, \mathrm{OR})$ with $\mathrm{Et}_{3} \mathrm{~N}$
$\mathbf{6}^{-} \xlongequal{k_{\mathrm{H}_{2} \mathrm{O}}+k_{\mathrm{OH}}\left[\mathrm{OH}^{-}\right]} \mathbf{5 - O M e} \xlongequal[k_{-1}^{\mathrm{RO}}]{\stackrel{k_{1} \mathrm{RO}\left[\mathrm{RO}^{-}\right]}{\rightleftharpoons}}$
(MeOH)
buffers, the intermediate may partition into both $\mathbf{5}$-OMe and 5-OR with $k_{\text {obsd }}=k_{-1}^{\mathrm{RO}}+k_{2}^{\mathrm{RO}}$. However, we expect that $k_{2}^{\mathrm{RO}} \ll$ $k_{-1}^{\mathrm{RO}}$ since $\mathrm{MeO}^{-}$is a much worse nucleofuge than $\mathrm{RO}^{-}$and the push provided by the RO group left behind ( $k_{2}^{\mathrm{RO}}$ step) is weaker than that by the MeO group left behind in the $k_{-1}^{\mathrm{RO}}$ step. ${ }^{11}$ On mixing 5 -OMe with $\mathrm{RO}^{-}$, depletion of 7 -(OMe,OR) via hydrolysis of $\mathbf{5}-\mathrm{OMe}$ is faster than its conversion to products and hence $k_{2}^{\mathrm{RO}}$, again, remains inaccessible.

[^5]Why Are No Intermediates Detectable in the Hydrolysis Reactions? On the basis of the $k_{1}^{\mathrm{RO}}$ values for $\mathrm{RO}^{-}$addition to 5 -OMe one would expect $k_{1}^{\mathrm{OH}}$ for $\mathrm{OH}^{-}$addition to be very high. If the $K_{1}^{\mathrm{OH}} / K_{1}^{\mathrm{RO}}$ ratio $\left(\mathrm{R}=\mathrm{CF}_{3} \mathrm{CH}_{2}\right)=2.45 \times 10^{3}$ for $5-\mathrm{H}^{12}$ can serve as a guide, $K_{1}^{\mathrm{OH}}$ for 5 -OMe may be $\leq 1.7 \times 10^{8}$

$\mathrm{M}^{-1}$; i.e., the conditions of eq 12 are amply met. Using the statistically corrected $k_{-1}^{\mathrm{RO}}$ for $\mathrm{MeO}^{-}$expulsion from 5 -( OMe ,$\mathrm{OMe})\left(6.1 \times 10^{-6} \mathrm{~s}^{-1}\right)$ as approximation for the collapse rate constant of $7-(\mathrm{OMe}, \mathrm{OH})$ to $5-\mathrm{OH}$, one obtains $k_{1}^{\mathrm{OH}} / k_{2}^{\mathrm{OH}} \approx 5.41$ $\times 10^{2} / 6.1 \times 10^{-6}=8.87 \times 10^{7} \mathrm{M}^{-1}$. This easily meets the condition of eq 13 even at relatively low pH .

Since the condition of both eqs 12 and 13 is met, 7-(OMe,OH ) is not detectable because there must be other pathways that convert it to products that are considerably faster than the $k_{2}^{\mathrm{OH}}$ step and not available for the collapse of the intermediates in the reactions with $\mathrm{RS}^{-}$or $\mathrm{RO}^{-}$. One such pathway is a direct intramolecular acid-catalyzed conversion of 7 -( $\mathrm{OMe}, \mathrm{OH}$ ) to $6^{-}$ and MeOH via transition state 8 . The other involves equilibrium deprotonation of $7-(\mathrm{OMe}, \mathrm{OH})$ to $7-(\mathrm{OMe}, \mathrm{O})^{-}$, which accelerates formation of $\mathbf{6}^{-}$due to the "push" provided by the $\mathrm{O}^{-}$group.

Our results require that either one or both of these additional pathways cause the fast product formation in basic solution. The fast conversion of $7-(\mathrm{OMe}, \mathrm{OH})$ also implies that the nucleophilic addition is rate limiting for the overall reaction, i.e., $k_{\mathrm{OH}}=k_{1}^{\mathrm{OH}}$. Arguments similar to those discussed before ${ }^{13}$ suggest that for the water reaction, too, nucleophilic addition is rate limiting; i.e., $k_{\mathrm{H}_{2} \mathrm{O}}=k_{1}^{\mathrm{H}_{2} \mathrm{O}}$.

The hydrolysis product is present as the anion $\mathbf{6}^{-}$at $\mathrm{pH}>1$ but at $\mathrm{pH}<1$ it is protonated ( $\mathrm{p} K_{\mathrm{a}}=1.06$ ). The red shift (Figure 1) indicates oxygen rather than carbon protonation; i.e., enol 5-OH is thermodynamically more stable than ketone $\mathbf{6}$ and $\mathrm{p} K_{\mathrm{a}}-$ (6) $<\mathrm{p} K_{\mathrm{a}}(\mathbf{5}-\mathrm{OH})$. This is reasonable since the $\mathrm{CH} \mathrm{p} K_{\mathrm{a}}$ of $\mathbf{1 0}$

in water is $2.95,{ }^{14}$ and anion stabilization in $\mathbf{6}^{-}$is far greater than in $\mathbf{1 0}^{-}$. This contrasts with $\mathbf{9}^{-}$, the hydrolysis product of 4-OMe, which is protonated on carbon to form 9 rather than 4-OH. ${ }^{13}$

Structure-Reactivity Relationships. (A) Formation of the Intermediate. Comparison between $5-\mathrm{OMe}$ and $5-\mathrm{H}$. Table 1 summarizes rate and equilibrium constants for the reactions of $5-\mathrm{OMe}$ and $5-\mathrm{H} .{ }^{12}$ We focus attention on the nucleophiles

[^6]$\mathrm{HOCH}_{2} \mathrm{CH}_{2} \mathrm{~S}^{-}, \mathrm{CF}_{3} \mathrm{CH}_{2} \mathrm{O}^{-}$, and $\mathrm{HO}^{-}$used with both substrates. The following features are noteworthy.
(1) For addition of $\mathrm{HOCH}_{2} \mathrm{CH}_{2} \mathrm{~S}^{-}$, both $K_{1}^{\mathrm{RS}}$ and $k_{1}^{\mathrm{RS}}$ are much smaller for $5-\mathrm{OMe}$ than $5-\mathrm{H}$, with $K_{1}^{\mathrm{RS}}(5-\mathrm{OMe}) /$ $K_{1}^{\mathrm{RS}}(\mathbf{5}-\mathrm{H})=4.78 \times 10^{-7}$ and $k_{1}^{\mathrm{RS}}(\mathbf{5}-\mathrm{OMe}) / k_{1}^{\mathrm{RS}}(\mathbf{5}-\mathrm{H})=3.06 \times$ $10^{-3}$, respectively. The most important factors that may affect the relative reactivity of $\mathbf{5}-\mathrm{OMe}$ and $\mathbf{5 - H}$ toward nucleophiles are steric crowding in the intermediate, reactant stabilization by $\pi$-donation (11), the inductive/field effect of the OMe group,

and anomeric stabilization ${ }^{15}$ of the intermediate. The first two factors should reduce $K_{1}^{\mathrm{RS}}$ and $k_{1}^{\mathrm{RS}}$ for 5 -OMe relative to $5-\mathrm{H}$, and the third and fourth factors should increase them, although the anomeric effect is probably only significant with $\mathrm{RO}^{-}$ nucleophiles. The lower rate and equilibrium constants for $5-\mathrm{OMe}$ compared to 5 - H are thus ascribed to the combined contributions of the $\pi$-donor and steric effects which more than offset the inductive/field and anomeric effects. Similar results have been reported for the reactions of $4-\mathrm{OMe}$ and $\alpha$-nitrostilbene (4-H) with $\mathrm{RS}^{-} .{ }^{2 \mathrm{~b}, 18}$
(2) The $k_{1}^{\mathrm{RO}}$ and $K_{1}^{\mathrm{RO}}$ values for $\mathrm{CF}_{3} \mathrm{CH}_{2} \mathrm{O}^{-}$addition to $\mathbf{5}-\mathrm{OMe}$ are somewhat smaller than for addition to $5-\mathrm{H}$ : $k_{1}^{\mathrm{RO}}(\mathbf{5}-\mathrm{OMe}) / k_{1}^{\mathrm{RO}}(\mathbf{5}-\mathrm{H})=5.22 \times 10^{-2}, K_{1}^{\mathrm{RO}}(\mathbf{5}-\mathrm{OMe}) / K_{1}^{\mathrm{RO}}(\mathbf{5}-\mathrm{H})$ $=1.42 \times 10^{-2}$. These ratios are much larger than for the $\mathrm{HOCH}_{2} \mathrm{CH}_{2} \mathrm{~S}^{-}$reaction; i.e., replacing H with MeO is less detrimental than in the thiolate reaction. This is attributed to a combination of reduced steric hindrance, by the smaller nucleophilic atom, and to a strong stabilization of the intermediate and transition state by the anomeric effect. ${ }^{15}$
(3) $k_{1}^{\mathrm{HO}}$ is slightly lower for $\mathrm{OH}^{-}$addition to 5 -OMe than to $\mathbf{5 - H}: k_{1}^{\mathrm{HO}}(\mathbf{5}-\mathrm{OMe}) / k_{1}^{\mathrm{HO}}(\mathbf{5}-\mathrm{H})=0.30$. This ratio is very similar to the $k_{1}^{\mathrm{RO}}(5-\mathrm{OMe}) / k_{1}^{\mathrm{RO}}(5-\mathrm{H})$ ratio for $\mathrm{CF}_{3} \mathrm{CH}_{2} \mathrm{O}^{-}(0.0522)$ discussed above and reflecting again the reduced steric effect compared to the thiolate reactions, combined with the anomeric effect.
(B) Brønsted Parameters and Intrinsic Rate Constants. Brønsted plots for the reaction with $\mathrm{RS}^{-}$are shown in Figure 4, for the reaction with $\mathrm{RO}^{-}$, including $\mathrm{OH}^{-}$, in Figure 5. The Brønsted parameters are summarized in Table 2, which includes corresponding parameters for $5-\mathrm{H}$ and normalized Brønsted coefficients and intrinsic rate constants. The former were obtained as the slopes of plots (not shown) of $\log k_{1}^{\mathrm{Nu}}$ vs $\log$ $K_{1}^{\mathrm{Nu}}\left(\beta_{\mathrm{nuc}}^{\mathrm{n}}\right)$ and of $\log k_{-1}^{\mathrm{Nu}}$ vs $\log K_{1}^{\mathrm{Nu}}\left(\beta_{\mathrm{lg}}^{\mathrm{n}}\right)$; this gives more accurate values than $\beta_{\mathrm{nuc}} / \beta_{\mathrm{eq}}$ and $\beta_{\mathrm{lg}} / \beta_{\mathrm{eq}}$, respectively. The intrinsic rate constants, defined as $k_{0}^{\mathrm{Nu}}=k_{1}^{\mathrm{Nu}}=k_{-1}^{\mathrm{Nu}}$ when $K_{1}^{\mathrm{Nu}}=1$ were obtained by suitable extrapolation of the log $k_{1}^{\mathrm{Nu}}$ vs $\log K_{1}^{\mathrm{Nu}}$ plots. The following points are of interest.

[^7]

Figure 4. Brønsted plots for the reactions of $\mathbf{5}$-OMe with thiolate ions. $\bullet, \log K_{1}^{\mathrm{RS}} ; \mathbf{\Delta}, \log k_{1}^{\mathrm{RS}} ; \boldsymbol{\square}, \log k_{-1}^{\mathrm{RS}}$.


Figure 5. Brønsted plots for the reactions of $\mathbf{5}-\mathrm{OMe}$ with alkoxide ions and $\mathrm{OH}^{-} . \bullet, \log K_{1}^{\mathrm{RO}} ; \mathbf{\Delta}, \log k_{1}^{\mathrm{RO}} ; \Delta, \log k_{1}^{\mathrm{OH}} ; \llbracket, \log k_{-1}^{\mathrm{RO}}$.

Table 2. Brønsted Parameters and Intrinsic Rate Constants for the Reactions of Thiolate and Alkoxide Ions with $\mathbf{5}-\mathrm{OMe}$ and $\mathbf{5 - H}$

| parameter | 5-OMe | 5- $\mathrm{H}^{c}$ |
| :---: | :---: | :---: |
| RS ${ }^{-}$as Nucleophiles |  |  |
| $\beta_{\text {nuc }}$ | $0.17 \pm 0.01$ | 0.17 |
| $\beta_{1 \mathrm{~g}}$ | $-0.59 \pm 0.04$ | -0.72 |
| $\beta_{\text {eq }}$ | $0.76 \pm 0.05$ | 0.89 |
| $\beta_{\text {nuc }}^{\text {n }}{ }^{\text {a }}$ | $0.22 \pm 0.01$ | 0.19 |
| $\beta_{1 \mathrm{~g}}{ }^{\text {n }}$ | $-0.78 \pm 0.01$ | -0.81 |
| $\beta_{\text {push }}$ | $0.75{ }^{\text {b }}$ |  |
| $\log k_{\mathrm{o}}^{\mathrm{RS}}$ | $3.66 \pm 0.03$ | 5.17 |
| $\mathrm{RO}^{-}$as Nucleophiles |  |  |
| $\beta_{\text {nuc }}$ | $0.51{ }^{\text {b }}$ | 0.23 |
| $\beta_{1 \mathrm{~g}}$ | $-0.97 \pm 0.01$ | -0.81 |
| $\beta_{\text {eq }}$ | $1.48{ }^{\text {b }}$ | 1.03 |
| $\beta_{\text {nuc }}^{\text {n }}{ }^{\text {a }}$ | $0.34{ }^{\text {b }}$ | 0.22 |
| $\beta_{1 \mathrm{~g}}^{\mathrm{n}{ }^{\text {a }}}$ | $-0.66{ }^{\text {b }}$ | -0.79 |
| $\log k_{\mathrm{o}}^{\mathrm{RO}}$ | $1.49{ }^{\text {d }}$ | 2.86 |

${ }^{a} \beta_{\mathrm{nuc}}^{\mathrm{n}}$ and $\beta_{1 \mathrm{~g}}^{\mathrm{n}}$ obtained from plots of $\log k_{1}$ and $\log k_{-1}$, respectively, vs $\log K_{1}$; see text. ${ }^{b}$ No standard deviation given because $\beta$ is based on two points only. ${ }^{c}$ Reference 12. ${ }^{d}$ No standard deviation given because $\log k_{\mathrm{o}}^{\mathrm{RO}}$ is based on two points only.
(1) $\beta_{\text {eq }}$ for $\mathrm{RS}^{-}$addition to $5-\mathrm{OMe}$ and to $\mathbf{5 - H}$ is $<1$, indicating that carbon and proton basicities of $\mathrm{RS}^{-}$are not quite proportional. This is a common pattern in reactions of RS ${ }^{-}$ with electrophilic vinylic substrates. ${ }^{2 \mathrm{a}, 18}$ The $\beta_{\text {nuc }}\left(\beta_{\text {nuc }}^{\mathrm{n}}\right)$ values for the $\mathrm{RS}^{-}$reactions are quite small and the $\beta_{\mathrm{lg}}\left(\beta_{\mathrm{lg}}^{\mathrm{n}}\right)$ values
for the reverse reaction $\left(k_{-1}^{\mathrm{RS}}\right)$ is quite large. This suggests a transition state with relatively little charge transfer and hence presumably little bond formation, ${ }^{19}$ as is typical for this type of reactions. ${ }^{2 a, 18}$
(2) The Brønsted coefficient for the reaction of $\mathbf{5}-\mathrm{OMe}$ with $\mathrm{RO}^{-}$is considered approximate for two reasons. (i) $\beta_{\mathrm{nuc}}$, $\beta_{\mathrm{nuc}}^{\mathrm{n}}, \beta_{\mathrm{lg}}^{\mathrm{n}}$, and $\beta_{\text {eq }}$ are based on two points only ( $\mathrm{HC} \equiv \mathrm{CCH}_{2} \mathrm{O}^{-}$ and $\mathrm{CF}_{3} \mathrm{CH}_{2} \mathrm{O}^{-}$) because $k_{1}^{\mathrm{RO}}$ and $K_{1}^{\mathrm{RO}}$ for $\mathrm{MeO}^{-}$addition is not measurable in DMSO $/ \mathrm{H}_{2} \mathrm{O}$ and the negatively deviating $\mathrm{OH}^{-}$ point is not a member of the $\mathrm{RO}^{-}$family (cf. (5) below). However, $k_{-1}^{\mathrm{RO}}$ for $\mathrm{R}=$ Me was measurable and hence $\beta_{\mathrm{lg}}$ is based on three $k$ 's. (ii) The unknown $\mathrm{p} K_{\mathrm{a}}$ values of $\mathrm{HC} \equiv \mathrm{CCH}_{2}$ OH and MeOH in $50 \%$ DMSO had to be estimated. ${ }^{22}$ Despite these uncertainties, a comparison of the Brønsted parameters in the $\mathrm{RO}^{-}$reactions of $\mathbf{5 - H}$ and $\mathbf{5 - O M e}$ (Table 2) is meaningful because they were obtained similarly and potential uncertainties should cancel. We note that $\beta_{\text {nuc }}$ and especially $\beta_{\text {eq }}$ is substantially larger in the reaction of 5 -OMe: $\beta_{\mathrm{eq}}$ is $\sim 0.45$ unit higher than with $5-\mathrm{H}$. The exalted $\beta_{\text {nuc }}$ and $\beta_{\mathrm{eq}}$ values for 5-OMe may possibly result from the anomeric effect provided that stabilization of the resonance structure 13b by stronger

electron-donating R groups more than offsets the destabilization of 13a. This would lead to a net stabilization of $\mathbf{7 - ( O M e , O R})$ with increasing electron donation by R and translate into $\beta_{\mathrm{eq}}>$ 1.
(3) The $k_{2}^{\mathrm{RS}}$ values for $\mathrm{MeO}^{-}$departure from 7-(OMe,SR) in the reactions of 5-OMe with $\mathrm{RS}^{-}$increase on increasing $\mathrm{p} K_{\mathrm{a}}^{\text {RSH }}$. Based only on the two points for $\mathrm{MeO}_{2} \mathrm{CCH}_{2}$ $\mathrm{CH}_{2} \mathrm{~S}^{-}$and $\mathrm{n}-\mathrm{BuS}^{-}, \beta_{\text {push }}=\mathrm{d} \log k_{2}^{\mathrm{RS}} / \mathrm{dp} K_{\mathrm{a}}^{\mathrm{RSH}}=0.75$. This value is not precise but is clearly $\gg 0$. The push results from the developing resonance in the product (12) which becomes stronger with increasing basicity of the RS group. The $k_{2}^{\mathrm{RS}}$ value for $\mathrm{HOCH}_{2} \mathrm{CH}_{2} \mathrm{~S}^{-}$, which is larger than $k_{2}^{\mathrm{RS}}$ for the other two $\mathrm{RS}^{-}$, does not fit into this correlation. This may be attributed to intramolecular hydrogen-bonding assistance by the OH group (cf. 14).
(4) The intrinsic rate constants for nucleophilic addition to 5-OMe $\left(\log k_{0}^{\mathrm{RS}}=3.66, \log k_{0}^{\mathrm{RO}}=1.49\right)$ are substantially lower than for addition to $5-\mathrm{H}\left(\log k_{0}^{\mathrm{RS}}=5.15, \log k_{0}^{\mathrm{RO}}=\right.$ 2.86). ${ }^{23}$ Intrinsic rate constants are purely kinetic quantities ${ }^{24}$

[^8]which have been "corrected" for differences in the equilibrium constants arising from different degrees of $\pi$-donor, inductive/ field, steric, and anomeric effects on reactants and intermediates in the various reactions. Hence, if at the transition state these factors were proportional to bond formation (i.e., a "balanced" transition state), the intrinsic rate constants should be the same for all the systems. Their differences indicate "imbalanced" transition states. ${ }^{20 c, 25}$ Specifically, the loss of resonance stabilization of $\mathbf{5}$-OMe resulting from the $\pi$-donor effect is expected to be farther ahead than bond formation at the transition state. ${ }^{26}$ The principle of nonperfect synchronization (PNS) ${ }^{27}$ then predicts depressed $k_{0}^{\mathrm{RS}}$ and $k_{0}^{\mathrm{RO}}$ for 5 -OMe relative to $5-\mathrm{H}$. The greater steric crowding in the intermediates derived from $\mathbf{5}-\mathrm{OMe}$ may also lower $k_{0}^{\mathrm{RS}}$ and $k_{0}^{\mathrm{RO}}$ if the steric effect develops ahead of bond formation at the transition state..$^{28}$ There are indications that steric effects develop early. ${ }^{26 c}$ The large intrinsic rate constant differences for the reactions of $\mathbf{5}$-OMe and $\mathbf{5 - H}$ are consistent with a steric contribution.
(5) The $k_{\mathrm{o}}$ values for the $\mathrm{RO}^{-}$reactions are all substantially smaller than for the corresponding $\mathrm{RS}^{-}$reactions, i.e., $\log$ $k_{0}^{\mathrm{RO}}=1.49 \mathrm{vs} \log k_{0}^{\mathrm{RS}}=3.66$ with $\mathbf{5}-\mathrm{OMe}$, and $\log k_{0}^{\mathrm{RO}}=2.86$ vs $k_{0}^{\mathrm{RS}}=5.15$ with $\mathbf{5}-\mathrm{H}$. The stronger solvation of $\mathrm{RO}^{-}$than of the RS ${ }^{-}{ }^{29,30}$ undoubtedly contributes to this result. The partial desolvation of the nucleophile which is typically ahead of bond formation at the transition state ${ }^{30,31}$ depresses $\log k_{0}$ more for the $\mathrm{RO}^{-}$reactions. The negative deviation of $k_{1}^{\mathrm{OH}}$ for $\mathrm{OH}^{-}$addition to $5-\mathrm{OMe}$ from the Brønsted line defined by $\mathrm{CF}_{3} \mathrm{CH}_{2} \mathrm{O}^{-}$and $\mathrm{HC} \equiv \mathrm{CCH}_{2} \mathrm{O}^{-}$(Figure 5) reflects the same phenomenon: $\mathrm{OH}^{-}$solvation exceeds $\mathrm{RO}^{-}$solvation ${ }^{30,32}$ making $k_{0}^{\mathrm{OH}}$ even lower than $k_{0}^{\mathrm{RO}}$.

A second factor that may increase $k_{0}$ for the $\mathrm{RS}^{-}$reactions ${ }^{18}$ is the greater polarizability of the $\mathrm{RS}^{-}$ions which is the major reason their carbon basicity is generally unusually high relative to their proton basicity. ${ }^{33,34}$ In Pearson's ${ }^{35}$ terminology, the reaction of $\mathrm{RS}^{-}$with the vinylic substrate leads to a favorable soft-soft interaction, compared with a less favorable hardsoft interaction in the reaction of $\mathrm{RO}^{-}$. If one assumes that the soft-soft interaction runs ahead of bond formation at the transition state, the PNS predicts an increase in $k_{0}^{\mathrm{RS}}$.
(6) The potential contribution by the anomeric effect to log $k_{0}^{\mathrm{Nu}}$ in the reaction of $\mathrm{RO}^{-}$with $5-\mathrm{OMe}$ is insignificant since the differences, $\log k_{0}^{\mathrm{RS}}-k_{0}^{\mathrm{RO}}$ are essentially substrate independent, i.e., $\log k_{0}^{\mathrm{RS}}-\log k_{0}^{\mathrm{RO}}=5.17-2.86=2.3$ for $5-\mathrm{H}$ and $3.66-1.49=2.17$ for $5-O M e$.

[^9]Table 3. Comparison of Rate and Equilibrium Constants for the Reactions of 5-OMe and 4-OMe with Selected Nucleophiles ${ }^{a}$

| parameters | $\mathbf{5 - O M e}$ | $\mathbf{4 - O M e}$ | $\log (\mathbf{5}-\mathrm{OMe} / \mathbf{4}-\mathrm{OMe})^{i}$ |
| :--- | :--- | :---: | :---: |
| $\mathrm{p} K_{\mathrm{a}}^{\mathrm{CH}}$ | $4.70^{d}$ | $7.93^{e}$ | $3.23^{j}$ |
| $K_{1}^{\mathrm{RS}}, \mathrm{M}^{-1}$ | $2.57 \times 10^{4}$ | $7.65 \times 10^{3}$ | 0.53 |
| $k_{1}^{\mathrm{RS}}, \mathrm{M}^{-1} \mathrm{~s}^{-1}$ | $4.40 \times 10^{4}$ | $3.90 \times 10^{2 f}$ | 2.04 |
| $k_{-1}^{\mathrm{RS}}, \mathrm{s}^{-1}$ | 1.71 | $5.10 \times 10^{-2 f}$ | 1.53 |
| $k_{2}^{\mathrm{RS}}, \mathrm{s}^{-1}$ | $2.16 \times 10^{-4}$ | $9.6 \times 10^{-6 f}$ | 1.35 |
| $\log k_{\mathrm{o}}^{\mathrm{RS}}$ | 3.66 | $2.16^{f}$ | 1.50 |
| $\log k_{\mathrm{o}}^{\mathrm{PT}}$ | $3.90^{d}$ | $-0.25^{e}$ | 4.15 |
| $K_{1}^{\mathrm{RO}}, \mathrm{M}^{-1}$ | $9.81 \times 10^{4}$ | $1.45 \times 10^{4} g$ | 0.83 |
| $k_{1}^{\mathrm{RO}}, \mathrm{M}^{-1} \mathrm{~s}^{-1}$ | $1.57 \times 10^{3}$ | $0.73^{g}$ | 3.33 |
| $k_{-1}^{\mathrm{RO}}, \mathrm{s}^{-1}$ | $1.60 \times 10^{-2}$ | $5.03 \times 10^{-5 g}$ | 2.50 |
| $\log k_{\mathrm{o}}^{\mathrm{RO}}$ | 1.49 | $\approx-1.55^{g}$ | 3.04 |
| $k_{1}^{\mathrm{OH}}, \mathrm{M}^{-1} \mathrm{~s}^{-1}$ | $5.41 \times 10^{2}$ | $6.91 \times 10^{-1 h}$ | 2.89 |
| $k_{1}^{\mathrm{H} \mathrm{O}}$ | $2.98 \times 10^{-2}$ | $2.37 \times 10^{-5 h}$ | 3.10 |

${ }^{a} \mathrm{RS}^{-}=\mathrm{HOCH}_{2} \mathrm{CH}_{2} \mathrm{~S}^{-} ; \mathrm{RO}^{-}=\mathrm{CF}_{3} \mathrm{CH}_{2} \mathrm{O}^{-} .{ }^{b} \mathrm{p} K_{\mathrm{a}}^{\mathrm{CH}}$ refers to Meldrum's acid and $\mathrm{PhCH}_{2} \mathrm{NO}_{2}$, respectively. ${ }^{c} \mathrm{PT}$, proton transfer from Meldrum's acid and $\mathrm{PhCH}_{2} \mathrm{NO}_{2}$, respectively, to secondary alicyclic amines. ${ }^{d}$ Reference 8. ${ }^{e}$ Reference 7. ${ }^{f}$ Reference 2. ${ }^{g}$ Reference 3a. ${ }^{h}$ Reference $13 .{ }^{i} \log (5-\mathrm{OMe} / \mathbf{4}-\mathrm{OMe})$ refers to $\Delta \log K_{1}^{\mathrm{RS}}=\log$ $K_{1}^{\mathrm{RS}}(5-\mathrm{OMe})-\log K_{1}^{\mathrm{RS}}(4-\mathrm{OMe}), \Delta \log k_{1}^{\mathrm{RS}}=\log k_{1}^{\mathrm{RS}}(5-\mathrm{OMe})-\log$ $k_{1}^{\mathrm{RS}}(4-\mathrm{OMe})$, etc. ${ }^{j} \mathrm{p} K_{\mathrm{a}}^{\mathrm{CH}}\left(\mathrm{PhCH}_{2} \mathrm{NO}_{2}\right)-\mathrm{p} K_{\mathrm{a}}^{\mathrm{CH}}$ (Meldrum's acid).
(C) Comparison with the Nitrostilbene System. In Table 3 equilibrium and rate constants and intrinsic rate constants are compared for the reactions of $5-\mathrm{OMe}$ and $\mathbf{4 - O M e}$. The following features are of interest.
(1) The equilibrium constants for $\mathrm{HOCH}_{2} \mathrm{CH}_{2} \mathrm{~S}^{-}$and $\mathrm{CF}_{3} \mathrm{CH}_{2} \mathrm{O}^{-}$addition are somewhat higher for 5-OMe than for 4-OMe $\left(\Delta \log K_{1}^{\mathrm{RS}}=0.53, \Delta \log K_{1}^{\mathrm{RO}}=0.83\right) .{ }^{36}$ This reflects the stronger electron-withdrawing effect of the cyclic diester moiety of 5 -OMe compared to the phenylnitro moiety of $4-\mathrm{OMe}$. However, the $\Delta \log K_{1}$ are much smaller than the $\Delta \mathrm{p} K_{\mathrm{a}}^{\mathrm{CH}}$ of 3.23 between Meldrum's acid and $\mathrm{PhCH}_{2} \mathrm{NO}_{2}$. The rather small $\Delta \log K_{1}$ values probably reflect the more severe steric crowding in the intermediate derived from 5-OMe which depresses its $K_{1}^{\mathrm{RS}}$ and $K_{1}^{\mathrm{RO}}$ more than for 4-OMe. This is consistent with the much smaller $\Delta \log K_{1}^{\mathrm{RS}}$ for the comparison between 5 -OMe and $4-\mathrm{OMe}$ than between $5-\mathrm{H}$ and $4-\mathrm{H}\left(0.53\right.$ vs $\left.3.8^{12}\right)$ where steric crowding should be weaker, and with the smaller $\Delta \log$ $K_{1}^{\mathrm{RS}}=0.53$ than $\Delta \log K_{1}^{\mathrm{RO}}=0.83$ for the bulkier sulfur nucleophile.
(2) The rate constants for $\mathrm{HOCH}_{2} \mathrm{CH}_{2} \mathrm{~S}^{-}$and $\mathrm{CF}_{3} \mathrm{CH}_{2} \mathrm{O}^{-}$ addition to $5-\mathrm{OMe}$ are substantially higher than for addition to 4-OMe $\left(\Delta \log k_{1}^{\mathrm{RS}}=2.04, \Delta \log k_{1}^{\mathrm{RO}}=3.33\right)$. These $\Delta \log$ $k_{1}^{\mathrm{RS}}$ and $\Delta \log k_{1}^{\mathrm{RO}}$ values are larger than the corresponding $\Delta \log K_{1}^{\mathrm{RS}}$ and $\Delta \log K_{1}^{\mathrm{RO}}$ values; i.e., the kinetic advantage of the reactions of 5 -OMe over those of 4 -OMe exceeds the thermodynamic advantage. Likewise, the $k_{-1}^{\mathrm{RS}}$ and $k_{-1}^{\mathrm{RO}}$ values are higher for 5 -OMe despite the smaller equilibrium constants $\left(\Delta \log k_{-1}^{\mathrm{RS}}=1.53, \Delta \log k_{-1}^{\mathrm{RO}}=2.50\right)$. This arises from higher intrinsic rate constants for the reactions of $\mathbf{5 - O M e}$ than for the reactions of $4-\mathrm{OMe}\left(\Delta \log k_{0}^{\mathrm{RS}}=1.50, \Delta \log k_{0}^{\mathrm{RO}} \approx 3.04\right)$.

The main reason $k_{\mathrm{o}}$ is higher for reactions of $5-\mathrm{OMe}$ is that resonance contributes less to the stability of the intermediates than in the reaction of $\mathbf{4 - O M e}$. Since resonance development lags behind bond formation at the transition state, ${ }^{27} k_{\mathrm{o}}$ for the 4-OMe should be lower. This resembles the deprotonation behavior of Meldrum's acid and $\mathrm{PhCH}_{2} \mathrm{NO}_{2}\left(\Delta \log k_{0}^{\mathrm{PT}}=\right.$ 4.15). However, $\Delta \log k_{0}^{\mathrm{RS}}$ and $\Delta \log k_{0}^{\mathrm{RO}}$ are substantially

[^10]smaller than $\Delta \log k_{0}^{\mathrm{PT}}$, in line with the pattern that the PNS effect of delayed resonance development is weaker in nucleophilic additions to $\mathrm{PhCH}=\mathrm{CXY}$ than in deprotonation of RCHXY-type acids. ${ }^{26 c, 37}$ A major reason for this attenuation is that in $\mathrm{PhCH}=\mathrm{CXY} \mathrm{C}_{\alpha}$ is already $\mathrm{sp}^{2}$-hybridized, which reduces the imbalance. ${ }^{26 c, 37}$ Another is steric hindrance in the intermediate carbanion which precludes it from achieving full planarity and hence from maximizing the resonance effect.

## Conclusions

(1) Stabilization of the intermediate in the reactions of $\mathbf{5 - O M e}$ with $\mathrm{RS}^{-}, \mathrm{RO}^{-}$, and $\mathrm{OH}^{-}$is sufficient to render the equilibrium of the first step favorable. With $\mathrm{RS}^{-}$and $\mathrm{RO}^{-}$, conversion of the intermediate to products is also slow enough to permit its direct observation. With $\mathrm{OH}^{-}$the acidity of the OH group in the intermediate provides faster pathways to products, rendering the intermediate undetectable.
(2) Comparison of rate and equilibrium constants for nucleophilic addition to $\mathbf{5 - O M e}$ and $\mathbf{5 - H}$ reveals the strong role played by steric crowding in $7-(\mathrm{OMe}, \mathrm{SR})$ and $7-(\mathrm{OMe}, \mathrm{OR})$, by the $\pi$-donor effect of the methoxy group on the stabilization of 5 -OMe and by the anomeric effect in stabilizing (7-OMe,OR) and $7-(\mathrm{OMe}, \mathrm{OH})$.
(3) The intrinsic rate constants are lower for addition to $\mathbf{5}$-OMe than to $\mathbf{5}-\mathrm{H}$, due to the loss of the $\pi$-donor effect of the MeO group being ahead of bond formation at the transition state. There may also be a steric contribution to the lowering of $k_{0}$.
(4) The intrinsic rate constants for nucleophilic addition to $\mathbf{5}$-OMe are higher than for addition to $\mathbf{4}$-OMe. This reflects the fact that for the reactions of 5-OMe resonance makes a much smaller contribution to the stability of the intermediate than in the reactions of $4-\mathrm{OMe}$.
(5) $\mathrm{MeO}^{-}$departure from $7-(\mathrm{OMe}, \mathrm{SR})$ is subject to a significant push by the RS group left behind due to the developing resonance in the product (12). With $\mathrm{R}=\mathrm{HOCH}_{2}{ }^{-}$ $\mathrm{CH}_{2}$, intramolecular assistance of $\mathrm{MeO}^{-}$departure leads to an enhanced $k_{2}^{\mathrm{RS}}$ value.

## Experimental Section

Materials. Methoxybenzylidene Meldrum's acid (5-OMe) was prepared by reacting a mixture of $1.6 \mathrm{~g}(11 \mathrm{mmol})$ of Meldrum's acid with $10 \mathrm{~g}(55 \mathrm{mmol})$ of trimethyl orthobenzoate for 24 h at $75{ }^{\circ} \mathrm{C}$ under nitrogen. The excess ester was distilled off at $58-62^{\circ} \mathrm{C}(12$ $\mathrm{mmHg})$. Recrystallization of the brown residue from $\mathrm{CHCl}_{3}$ - petroleum ether (bp $60-80^{\circ} \mathrm{C}$ ) gave white crystals ( $33 \%$ yield), mp $155-6^{\circ} \mathrm{C}$ (dec). Anal. Calcd for $\mathrm{C}_{14} \mathrm{H}_{14} \mathrm{O}_{5}$ : C, $64.11 ; \mathrm{H}, 5.38$. Found: C, 63.82; $\mathrm{H}, 5.29 .{ }^{1} \mathrm{H} \mathrm{NMR}\left(\mathrm{CD}_{2} \mathrm{Cl}_{2}\right) \delta 1.70\left(6 \mathrm{H}, \mathrm{s}, \mathrm{CH}_{3}\right), 3.69\left(3 \mathrm{H}, \mathrm{s}, \mathrm{CH}_{3} \mathrm{O}\right)$, $7.50(5 \mathrm{H}, \mathrm{m}, \mathrm{Ph})$. Hydroxybenzylidene Meldrum's acid ( $5-\mathrm{OH}$ ) was prepared by hydrolyzing $5-\mathrm{OMe}$ in a $2: 1(\mathrm{v} / \mathrm{v}) \mathrm{DMSO}-\mathrm{H}_{2} \mathrm{O}$ mixture in the presence of 0.3 M NaOH . After 2 h , the solution was poured into water, acidified with HCl , and extracted with ether. The ether was evaporated, and the white solid obtained was recrystallized from ether, giving a white powder, mp $114^{\circ}(\mathrm{dec})$ : ${ }^{1} \mathrm{H}$ NMR (DMSO- $d_{6}$ ) $\delta 1.76\left(6 \mathrm{H}, \mathrm{s}, \mathrm{CH}_{3}\right), 7.50(5 \mathrm{H}, \mathrm{m}, \mathrm{Ph})$; IR 2300-2800 $\mathrm{cm}^{-1}$ (br, OH).

All other materials were commercial and purified as described before. ${ }^{12}$ Reaction solutions were prepared and pH measurements were performed as described earlier. ${ }^{12}$

In Situ Generation of T-(OMe,SR) and T-(OMe,OR). 5-OMe was added to an excess of $\mathrm{RSH} / \mathrm{RS}^{-}$or $\mathrm{ROH} / \mathrm{RO}^{-}$buffers prepared in MeOH by reacting the respective RSH or ROH with KOMe. The solutions were quite stable and allowed the taking of absorption spectra of the respective intermediate. They also were used to measure the rate of decomposition of the intermediates by injecting small volumes into the appropriate buffer in $50 \%$ DMSO.
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Product Analysis. Products were analyzed on a Hewlett-Packard 1090M HPLC system. Best separations were achieved with an Alltech Altima $5 \mu \mathrm{~m}, 4.6 \mathrm{~mm} \times 250 \mathrm{~mm} \mathrm{C}_{18}$ column, with the following gradient system: (solvent A) 0.02 M phosphate buffer, pH 6.0 ; (solvent B) $40 \% \mathrm{MeCN}$; (solvent C) $80 \% \mathrm{MeCN}$. From 0 to $1 \mathrm{~min}, 100 \% \mathrm{~A}$; from 1 to 20 min, gradient from $100 \%$ A to $100 \%$ B; from 20 to 23 $\min , 100 \% \mathrm{~B}$; from 23 to $28 \mathrm{~min}, 100 \% \mathrm{C}$. The flow rate was 1.5 $\mathrm{mL} / \mathrm{min}$; the effluent was monitored at 255,285 , and 335 nm .

Spectra, $\mathbf{p} K_{\mathrm{a}}$ of $\mathbf{5 - O H}$ and Kinetic Measurements. UV spectra were obtained on a Hewlett-Packard 8452A diode array spectrophotometer. The $\mathrm{p} K_{\mathrm{a}}$ of $\mathbf{5 - O H}$ was determined by standard spectrophotometric methodology. Reactions with $k_{\mathrm{obsd}}<10^{-2} \mathrm{~s}^{-1}$ were monitored on a Perkin-Elmer Lambda 2 spectrophotometer. For reactions with $k_{\text {obsd }}>10^{-2} \mathrm{~s}^{-1}$, the measurements were performed on an Applied Photophysics DX.17MV stopped-flow apparatus. Due to the rapid
hydrolysis of $\mathbf{5}-\mathrm{OMe}$, the substrate (in MeCN ) was only added a few seconds prior to firing the stopped-flow apparatus.

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Supporting Information Available: Figures 1S-3S (3 pages, print/PDF). See any current masthead page for ordering information and Internet access instructions.

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[^5]:    (11) The statistically corrected rate constant for $\mathrm{MeO}^{-}$expulsion from 7 -(OMe,OMe), $0.5 \times 1.22 \times 10^{-5} \mathrm{~s}^{-1}$, is 170 -fold lower than $k_{-1}^{\mathrm{RO}}$ for $\mathrm{HC} \equiv \mathrm{CCH}_{2} \mathrm{O}^{-}$expulsion from 7 -(OMe,OR) and 2600 -fold lower than $k_{-1}^{\mathrm{RO}}$ for $\mathrm{CF}_{3} \mathrm{CH}_{2} \mathrm{O}^{-}$expulsion. Because of the reduced push, $k_{2}^{\mathrm{RO}}$ for 7 -(OMe,OR) should be even lower than $0.5 \times 1.22 \times 10^{-5} \mathrm{~s}^{-1}$.

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    (23) Even though the uncertainty in $\beta_{\text {nuc }}^{\mathrm{n}}$ for the reaction of 5 -OMe with $\mathrm{RO}^{-}$implies an uncertainty in $\log k_{\mathrm{o}}$ of perhaps as much as $\pm 0.5 \log$ unit, clearly $k_{\mathrm{o}}^{\mathrm{RO}}(5-\mathrm{OMe})<k_{\mathrm{o}}^{\mathrm{RO}}(5-\mathrm{H})$.

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    (27) The PNS states that if the development of a product-stabilizing factor lags behind bond changes or charge transfer at the transition state, $k_{\mathrm{o}}$ is reduced. The same is true if the loss of a reactant-stabilizing factor runs ahead of bond changes or charge transfer. For product-stabilizing factors that develop early or reactant-stabilizing factors that are lost late, $k_{\mathrm{o}}$ is enhanced. ${ }^{26}$.
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[^10]:    (36) For the definition of $\Delta \log K_{1}^{\mathrm{RS}}, \Delta \log K_{1}^{\mathrm{RO}}, \Delta \log K_{1}^{\mathrm{RS}}$, etc., see footnote $i$ in Table 3.

[^11]:    JA9743102

